

## Allianz Global Investors – Sustainability Initiatives Overview

Initiative	Allianz Global Investors' Position	Description	Date - present	Topics	Region/Country of Scope
30% Club France	Member	The purpose of the 30% Club France Investor Group is to engage with SBF120 companies on gender diversity to drive systemic change on company boards and within senior management teams and to develop best practices and recommendations to make SBF120 companies more inclusive, diverse and sustainable.	2021	Inclusion and Diversity (I&D)	France
Association Francaise de la Gestion financiere (AFG)	Member	AFG is the French Asset Management Industry Association	since inception	ESG, Corporate Governance	France
	Member Corporate Governance Committee	The Corporate Governance Committee of the AFG aims to promote best governance practices in the French marketplace, improve practices at French issuers and discuss proxy-related issues such as the role of proxy advisors, shareholder activism.	since inception		
	Member Technical Committee Responsible Investment	This committee organizes the working groups on different topics such as the SRI label, the ESR indicators or the energy transition law and others. The committee aims to be a place for sharing information on current regulations, initiatives, promotion responsible investment.	2019		
Asian Investor Group on Climate Change (AIGCC)	Member	AIGCC is an initiative to create awareness among Asia's asset owners and financial institutions about the risks and opportunities associated with climate change and low carbon investing.	2018	Climate	Asia
	Member Engagement and Policy Working Group	The work this group undertakes on engagement is complementary and in parallel with company engagement as part of Climate Action 100+. The focus of this working group enables investors to understand how their increasingly important role in policy advocacy can also help drive more ambitious corporate climate action.	2021		
	Member Paris Aligned Investment Working Group	The group focuses on developing investor solutions for facilitating the transition to a net-zero emissions economy in Asia, and to track global progress. The group oversees AIGCC's second annual low carbon investment survey.	2021		
Access to Nutrition Initiative (ATNI)	*Member	ATNI focuses on developing tools and initiatives that track and drive the contribution made by the food and beverage sector to addressing the world's global nutrition challenges.	2022	Nutrition	Global
Bundesverband Investment und Asset Management (BVI)	Member	BVI is the German Investment Funds Association.	2011	ESG	Germany
	Member Committee Sustainability	The committee deals with strategic and technical issues related to sustainable investing, including corporate governance. It accompanies regulatory and political initiatives in the field of sustainable finance, especially at the European level, and evaluates their practical effects.	2020		
	Member Working Group Responsible Investing	The working group prepares the association's positions on responsible investing.	2011		

\*Added in 2022

	Member Working Group Corporate Governance	The working group prepares the association's positions on current legislative projects relating to corporate governance at national and European level and develops the BVI analysis guidelines for general meetings.	2015		
	Member Working Group ESG Risk Management	The working group prepares the association's positions on sustainability risks in the risk management processes of fund portfolios and on the management company level.	2020		
<b>Carbon Disclosure Project (CDP)</b>	Investor Member	International non-profit organization that promotes carbon reporting by requesting information from leading companies about their GHG emissions and other environmental impacts.	2015	Climate	Global
<b>Climate Bonds Initiative</b>	Partner	International, investor-focused not-for-profit. It's the only organization in the world working solely on mobilizing the \$100 trillion bond market for climate change solutions.	2015	Climate	Global
<b>Climate Finance Leadership Initiative (CFLI)</b>	Founding Member	CFLI aims to unlock climate finance in emerging markets, thereby mobilizing private capital in order to help finance the transition towards a more sustainable tomorrow.	2019	Climate	Emerging Markets
<b>Council of Institutional Investors (CII)</b>	Member	The Council of Institutional Investors is the leading corporate governance network in the US, advocating effective corporate governance practices for US companies and strong shareholder rights and protections.	2017	Corporate Governance	US
	*Member Corporate Governance Advisory Council	The Corporate Governance Advisory Council provides input to the board and staff about CII activities that promote effective corporate governance and ways to enhance the value of membership.	2022		
<b>Climate Action 100+</b>	Participant	The Climate Action 100+ is an investor-led initiative to ensure the world's largest corporate greenhouse gas emitters take necessary action on climate change.	2017	Climate	Global
<b>The Conference Board</b>	Member	The Conference Board connects businesses across geographies and provides them with insights that enable them to anticipate what is ahead, improve their performance and better serve society.	2019	Corporate Governance	Global
	Co-Chair Corporate Governance Council	The Council was founded in Europe in recognition of the growing importance attached by investors to the effectiveness of the corporate board. The Council is dedicated to executives with governance interest and responsibility, based in Europe, including corporate secretaries, legal counsel, and institutional investors responsible for corporate governance policies.	2019		
<b>Deutsche Vereinigung für Finanzanalyse (DVFA)</b>	Board Member/Sponsor	DVFA is a professional association of investment professionals in Germany. DVFA sets German Industry Standards.	2005	ESG	Germany
	Member Kommission Sustainable Investing	The DVFA Kommission Sustainable Investing addresses how to better integrate Sustainability in analysis and how to address policy and regulation in the German market with a focus on education and formation of analysts.	2018		
	Member Fachausschuss Governance & Stewardship	The DVFA Kommission Corporate Governance has set itself the goal of promoting best practice for corporate governance in listed companies. The Commission's activities include addressing current aspects of corporate governance, developing standards and helping to shape relevant regulatory processes.	2015		
<b>European Fund and Asset Management Association (EFAMA)</b>	Member Stewardship, Market Integrity, ESG Investment Standing Committee	The Committee's areas of focus are stewardship role of asset managers, market integrity and ESG and Sustainable Investment topics. In particular, the Committee addresses the topics of Shareholders Rights and Obligations, Relationships between asset managers and investee companies (Stewardship), Responsible Investment, ESG Labels, Market Abuse and Sanctions. The membership includes participation in subgroup for Sustainable Finance Disclosure Regulation (SFDR).	2015	ESG	Europe

	Chair of the Distribution Committee	This Committee focuses on fund distribution and disclosures to investors. These include investor protection and disclosure issues arising from the evolving Packaged Retail and Insurance-based Investment Products (PRIIPs) and Markets in Financial Instruments Directive (MiFID) frameworks, the shift towards digital distribution tools, and the continued integration of ESG considerations into fund products. It includes the membership in the subgroup MiFID sustainability preferences.	2022		
European Leveraged Finance Association (ELFA)	Member	ELFA is the European Leveraged Finance Association, which derived from a former European High Yield Association. The foundation of the association is to have a buy-side group which helps push for improved reporting and governance in the European leveraged finance arena. About topics on ESG, it covers primarily governance, but the association helps educate and promote asset management engagement with issuers on ESG.	2020	ESG, Corporate Governance	Europe
	Member of ESG Committee	The ESG Committee is working to improve disclosure on ESG topics in the leveraged finance market and to develop best practice guidance on ESG disclosures for sub-investment grade corporate borrowers in collaboration with the PRI.	2020		
FAIR	Member	FAIR unifies different stakeholders of social impact finance in France and is the French center of expertise in this field at an international level. FAIR also manages a label, the Finansol label, which distinguishes social savings products from other savings products for the general-public.	2021	Social impact	France
FAIRR Initiative	Member	FAIRR is an investor network raising awareness of ESG risks and opportunities in intensive animal agriculture and helping build a more sustainable food system.	2019	ESG	Global
Forum pour l'Investissement Responsable (FIR)	Member	French Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the French market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2009	ESG	France
Forum per la Finanza Sostenibile	Participant	Italian Sustainable Investment Forum is a membership based organization addressing policy and other ESG topics specific to the Italian market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2011	ESG	Italy
Forum Nachhaltige Geldanlagen (FNG)	Member	German Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the German market. Organizes regular events, seminars, workshops, co-ordinates responses to consultations, etc.	2013	ESG	Germany
France Invest	Member	French association representing private equity (PE) and private debt professionals active in France. France Invest promotes the growth of PE among entrepreneurs, global savings managers, French and European public authorities and opinion leaders.	2021	Impact Investing	France
	Member of Impact Commission	The Commission meets regularly with its members to discuss the issues faced by impact investing players. The Commission assists its members with regulatory issues, financing issues and best practices.			
Green Bond Principles (GBP)	Member	The GBP are intended for broad use by the market: they provide issuers guidance on the key components involved in launching a credible Green Bond; they aid investors by ensuring availability of information necessary to evaluate the environmental impact of their Green Bond investments.	2015	Environment	Global
	Member Advisory Council Green Bonds and Social Bonds	The role of the Advisory Council is to advise the Executive Committee, to increase its market awareness and outreach, and to enable further engagement with specific membership categories and observers.	2019		

Global Impact Investing Network (GIIN)	Member	The Global Impact Investing Network is a nonprofit organization dedicated to increasing the scale and effectiveness of impact investing around the world. They do this by building critical infrastructure and developing activities, education, and research.	2018	Impact Investing	Global
Global Private Capital Association (GPCA)	Member	GPCA aims to connect and influence key market participants, and to promote the sectors, strategies and deals driving investment returns and meeting societal needs.	2021	Impact Investing	Global
Hong Kong Green Finance Association (HKGFA)	Member	The aim and mission of the HKGFA is to gather industry experts and provide policy suggestions to the Hong Kong special administrative region (HKSAR) Government and other regulators in developing green finance in the city.	2022	ESG	Hong Kong
	Member ESG Disclosure and Integration Working Group	The ESG Disclosure and Integration Working Group aims to foster green finance development in Hong Kong through promoting and enhancing ESG disclosure and integration with working plans on research, policy consultation, capacity building and cooperation.	2022		
	Member Policy Research and Dissemination Working Group	The Policy Research and Dissemination Working Group targets to offer policy recommendation to the HKSAR Government to strengthen Hong Kong's green finance market and the ESG ecosystem.	2022		
Hong Kong Investment Funds Association (HKIFA)	Member	The HKIFA represents the fund management industry of Hong Kong. It aims to foster the development of the fund management industry in Hong Kong.	2004	ESG	Hong Kong
	Member ESG Working Group	The ESG working group brings together fund managers to discuss on current ESG topics in the industry, thereby shaping the development of sustainable investment in Hong Kong.	2021		
The Investment Association (IA)	Member	IA is a trade body for the UK's asset management industry.	2015	ESG	UK
International Corporate Governance Network (ICGN)	Member	Aims to inspire effective standards of corporate governance to advance efficient markets world-wide. This is achieved through the ICGN Global Governance Principle. Co-chair ICGN's Shareholder Rights Committee.	2015	Corporate Governance	Global
	Co-chair Shareholder Rights Committee.	Focus of the committee is the protection and enhancement of minority shareholder rights.	2015		
Initiative Climat International	Member	The iCI represents a collective commitment to understand and reduce carbon emissions of private equity based companies and secure sustainable investment performance.	2021	Climate	Global
IFRS Sustainability Alliance (SASB Standards)	Member IFRS Sustainability Alliance	The IFRS Sustainability Alliance is a global membership program for sustainability standards, integrated reporting and integrated thinking.	2020	ESG	Global
	Member SASB Investor Advisory Group	The IAG comprises leading asset owners and asset managers who are committed to improving the quality and comparability of sustainability-related disclosure to investors.	2020		
Institutional Investors Group on Climate Change (IIGCC)	Member	IIGCC provides investors with a collaborative platform to encourage public policies, investment practices, and corporate behavior that address long-term risks and opportunities associated with climate change.	2016	Climate	Global
	(Under Paris Aligned Investor initiative) Net Zero Technical Working Group	The group contributes to the PAll by addressing analytical gaps where further development of methodologies and approaches is required to support implementation of the Framework.	2021		
	(Under Paris Aligned Investor initiative) Climate Solutions Working Group	A dedicated working group to develop best practice and support members to increase investment in climate solutions.	2020		

	Net Zero Stewardship Working Group	This working group aims to provide a forum to help investors view how best to operationalize 'net zero stewardship' and develop key tools to enable progress and work with proxy advisors to ensure they are ready to support investors.	2021		
UK Investor Forum	Underwriting Signatory	An investment industry body set up to facilitate dialogue between UK corporates and their investors	2015	ESG	UK
The Investor Mining & Tailings Safety Initiative	Participant	The Investor Mining & Tailings Safety Initiative is an investor led engagement convening institutional investors active in extractive industries including major asset owners and asset managers.	2019	ESG	Global
Nasdaq Sustainable Bond Network	Member of the Advisory Board of the Nasdaq Sustainable Bond Network	Nasdaq Sustainable Bond Network (NSBN) is a global platform that aims to increase transparency and accessibility to environmental, social and sustainability bonds.	2019	Climate	Global
Net Zero Asset Managers Initiative (NZAMI)	Member	NZAMI is an international group of asset managers committed to supporting the goal of net zero greenhouse gas emissions by 2050 or sooner, in line with global efforts to limit warming to 1.5 degrees Celsius.	2021	Climate	Global
One Planet Asset Manager Initiative (OPAM)	Member	OPAM was launched to support the members of the Ones Planet Sovereign Wealth Fund (OPSWF) in their implementation of the OPSWF Framework. The goal is to accelerate the understanding and integration of the implications of climate-related risks and opportunities within long-term investment portfolios through sharing of investment practices and expertise.	2021	Climate	Global
	Member of Steering Committee	The steering committee brings together technical leadership and commitment by its participants aiming to further support the implementation of the OPSWF framework. Thereby this group contributes to actively shaping the future of the initiative.	2021		
UN Principles for Responsible Investment (PRI)	Signatory	Global industry wide initiative to mobilize greater integration of ESG factors in day-to-day investment decisions and processes.	2007	ESG	Global
	Member Global Policy Reference Group	The aim of the group is to inform and strengthen PRI's and its signatories' public policy engagement on responsible investment topics, as well as to encourage alignment between its signatories' responsible investment commitments and public policy efforts.	2018		
	*Member Private Debt Advisory Committee	The aim of this group is to drive ESG integration in private debt.	2022		
	*Member 'Advance' Stewardship Initiative	The overall objective of the Initiative is to advance human rights and positive outcomes for people through investor stewardship. The Initiative will primarily seek change through investors' use of influence with portfolio companies. The following three expectations will be set for engagement focus companies: (1) Fully implement the UNGPs –the guardrail of corporate conduct on human rights, (2) Align their political engagement with their responsibility to respect human rights, (3) Deepen progress on the most severe human rights issues in their operations and across their value.	2022	Human Rights	
Spain Sustainable Investment Forum	Member	Spanish Sustainable Investment Forum – membership based organization addressing policy and other ESG topics specific to the Spanish market. The forum organizes regular events, seminars, workshops, etc.	2018	ESG	Spain
Swiss Sustainable Finance	Member	Swiss Sustainable Finance aims to strengthen Switzerland's position as a leading voice and actor in sustainable finance, thereby contributing to a sustainable and prosperous economy.	2021	ESG	Switzerland
Taskforce on Climate-related Financial Disclosures (TCFD)	Supporter	TCFD seeks to develop recommendations for voluntary climate-related financial disclosures that are consistent, comparable, reliable, clear, and efficient, and provide decision-useful information to lenders, insurers, and investors.	2019	Climate	Global

World Benchmarking Alliance (WBA)	Supporter	WBA seeks to generate a movement around increasing the private sector's impact towards a sustainable future for all.	2019	ESG	Global
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